

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GORMAN ET AL. Examiner: UNKNOWN
 Serial No.: 09/997,868 Group Art Unit: 1647
 Filed: NOVEMBER 28, 2001 Docket: 11669.103USW3
 Confirmation No.: 6177
 Due Date: FEBRUARY 28, 2002
 Title: PROHORMONE CONVERTASE TRANSFORMED CELLS AND POLYPEPTIDE SYNTHESIS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on February 28, 2002.

By: *Sheryl A. Boerboom*

BOX MISSING PARTS
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 Washington, D.C. 20231

Sir:

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We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Preliminary Amendment Including Version With Markings To Show Changes Made
 The fee has been calculated as shown below in the "Claims as Amended" table
- ☒ Notice to File Missing Parts of NonProvisional Application - Part 2
- ☒ Submission of Formal Drawings, 15 Sheets of Formal Drawings
- ☒ Checks in the amount of \$870.00 for deferred filing fee (\$740) and missing parts surcharge (\$130) and \$84.00 for excess claims
- ☒ Certificate Regarding Sequence Listing
- ☒ Paper copy and computer readable form of Sequence Listing. Applicants state that the paper copy form of the Sequence Listing section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Return postcard

CLAIMS AS AMENDED

Claims Remaining After Amendment		Highest Number Previously Paid For		Present Extra		Rate		Fee
Total Claims								
12	-	12	=	0	x	18.00	=	\$0.00
Independent Claims								
4	-	3	=	1	x	\$4.00	=	\$4.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$4.00

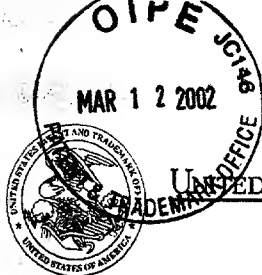
Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 612.332.5300

By: *Katherine M. Kowalchyk*
 Name: Katherine M. Kowalchyk
 Reg. No.: 36,848
 KKowalchyk:sab



(PTO TRANSMITTAL - GENERAL)



MAR 12 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,868	11/28/2001	Cornelia M. Gorman	11669.103USW73

CONFIRMATION NO. 6177

FORMALITIES LETTER



OC000000007237624

Attention: Katherine M. Kowalchuk
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903

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Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 TGEDAMU1 00000118 09997868

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 OP
02 FC:105 130.00 OP
03 FC:102 84.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

Adjustment date: 04/23/2002 NPRASASO
03/15/2002 TGEDAMU1 00000118 09997868
03 FC:102 -84.00 OP

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

Repl. Ref: 04/23/2002 NPRASASO 0013010300
DAH:132725 Name/Number:09997868
FC: 704 \$84.00 CR

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

THE UNIVERSITY OF CHICAGO

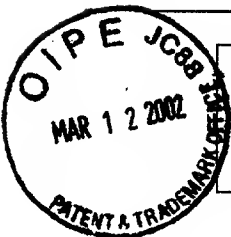
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By: Sheryl A. Boerboom
 Sheryl A. Boerboom

Commissioner for Patents
 Office of Initial Patent Examination
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 Washington, D.C. 20231

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Sir:

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- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Request for Corrected Filing Receipt and copy of the Filing Receipt showing changes made in red
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

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